



ENTERPRISE RISK CONSULTANTS SERVICES e-guide

Your Resource for Contracting Experienced
Risk Management Personnel

Questions,
or have someone to
recommend?



MAY
2020

www.csac-eia.org

INTRODUCTION

Hello,

Thank you for your interest in the PRISM's Enterprise Risk Consultants (ERC) Service. Rest assured that our pool of consultants are ready, willing and able to assist with your special project work, supplementation during various staff transitions, and many other scenarios. Each consultant has undergone a comprehensive evaluation process, to include review by the Member Services Committee, who themselves have diverse backgrounds, including Risk Managers, Finance, Human Resources, Risk Control, and other skill sets, and finally, a background check conducted by an independent background check firm.

The Consultants are highly-experienced individuals with a wide scope of risk management expertise in the following areas:

- Accounting/Risk Finance
- Claims Management
- Human Resources
- Information Technology
- Risk Control
- Risk Management

Many are retired public agency professionals or private sector consultants who are able to bring tremendous experience and expertise to your short-term project.

The consultants contract with PRISM and are fully insured. This allows the consultant to bill PRISM directly for services rendered to the participating member, negating the member's need to otherwise go through their own Request for Proposal (RFP) process for similar services.

Please have a look at the e-Guide and let us know if we can help you with a project, or if you have any questions.

Sincerely,



Rick Brush
Chief Member Services Officer



CONSULTANTS

(Click on a name below to read Consultant Profile)

Accounting/Risk Finance

Barbara Lubben.....	2
John Falappino.....	12

Claims Management

Bernard Colen.....	3
Bob May.....	4
Cathy Reineke.....	5
Charles Mitchell.....	6
Craig Wheaton.....	7
Dianne Hanson.....	8
John Falappino.....	12
Marco Sandoval.....	14
Maryellen Peters.....	15
Peggy Huntsinger.....	16
Steve Wilmes.....	18
Tom Phillips.....	19

Human Resources

Bob May.....	4
Cathy Reineke.....	5
Dianne Hanson.....	8
John Falappino.....	12

Information Technology

Jeffrey Scheel.....	11
---------------------	----

Risk Control

Ann Richey.....	1
Cathy Reineke.....	5
Eric Dahlen.....	9
John Gargiulo.....	13
Marco Sandoval.....	14
Maryellen Peters.....	15
Peggy Huntsinger.....	16
Steve Wilmes.....	18

Risk Management

Ann Richey.....	1
Barbara Lubben.....	2
Bernard Colen.....	3
Bob May.....	4
Cathy Reineke.....	5
Charles Mitchell.....	6
Dianne Hanson.....	8
Eric Dahlen.....	9
Gerry Preciado.....	10
John Falappino.....	12
Marco Sandoval.....	14
Maryellen Peters.....	15
Peggy Huntsinger.....	16
Ralph Korn.....	17
Steve Wilmes.....	18
Tom Phillips.....	19

ANN RICHEY

Geographic
Area
Preferred:
STATEWIDE

Assignment
Length
Preferred:
6 MONTHS+

Experience/Titles Held:

- Risk Management Director
- Loss Control Analyst
- Buyer
- Implemented Emergency Preparedness and Business Continuity Program with Fire Department
- Implemented programs, such as; DMV Pull Notice Program
- Worked with State of CA to develop Bloodborne Pathogens regulations
- Worked as Management Assistant in Budget Office
- Experienced with contract language and indemnity loopholes
- Trained in Total Quality Service and organizational development

Education:

- BS, Occupational Health & Safety - La Salle University

Certifications/Licenses/Awards/

Memberships:

- ARM-P
- PRISM Committees: Board of Directors, Executive, Underwriting, and Member Services
- ACCEL Committee: Executive

Service Area		Rates (per hour)	Years of Experience
Risk Control	Chief Risk Control Officer	\$125-145	15
	Safety & Loss Control Analyst	\$110-125	25
Risk Management	Risk Manager	\$125-145	15
	Risk Analyst	\$125-145	25

Work History:

I am looking to apply my advanced risk management skills in an organization in order to create a solid infrastructure that minimizes losses and maximizes the overall experience for customers, vendors and employees.

I have a broad knowledge of many facets of large organizations; having worked for public agencies in departments such as Purchasing, Budget Office and Risk Management and would like the opportunity to help bring my next employer to its fullest potential.

I have worked for four municipalities for the past 35+ years. For the first 10 years I was a Buyer for Anaheim, Cerritos and Santa Ana. I then worked 1 year in the Budget Office at Santa Ana before starting my Risk Management career in 1992. I was Santa Ana's Loss Control Analyst (safety manager) for 10 years, and then was the City of Ontario Risk Management Director from 2002 to 2017. I am currently serving as the Risk Management Director for the Ontario International Airport Authority.

I have experience with Cal/OSHA regulations and training, am an audiometric screener (I give hearing tests and can interpret the results as a layperson), I have managed safety committees and DMV programs including the Employer Testing Program, as well as the Pull Notice Program.

I manage a Workers' Compensation Program, having maintained a 2% litigation rate for the past 14 years. I also manage a third party liability claims program, including working directly with attorneys that I contract with. I have experience with handling Employee Benefits, including pulling the City of Ontario out of CalPERS.

[List of Consultants](#)

BARBARA LUBBEN

Geographic Area Preferred: NORTHERN CA

Assignment Length Preferred: 6 MONTHS OR LESS

Experience/Titles Held:

- Finance Manager
- Actuarial Consultant
- Research Manager
- Director of Risk Management
- CAO's Budget Analyst

Education:

- Masters in Business Research – Stanford University, Graduate School of Business, Palo Alto, CA
- Masters in Social Sciences – University of Chicago, Chicago, IL
- Bachelors in History – Lake Forest College, Lake Forest, IL

Certifications/Licenses/Awards/Memberships:

- Certificate in Corporate Finance with Distinction - UC Berkeley Extension
- PRISM:
 - * President, Vice President: Personnel and Governance Sub-Committees
 - * Executive & Property Committees Member: Treasurer, EIO
 - * Chairperson: Finance & GL2 Committees

Service Area		Rates (per hour)	Years of Experience
Accounting/ Risk Finance	Accounting/ Finance Manager	\$125-150	20
	Budgeting/ Analyst	\$125-150	25
Risk Management	Risk Manager	\$150	12

Work History:

I have held senior roles in both risk management and finance and can assist members in promoting the financial stability of their programs.

Critical areas in which I can assist members include developing their budgets and cost allocations, preparing CAFR exhibits and footnotes, designing internal financial controls, and communicating funding needs to decision makers. I can also develop entity-specific budgeting and cost allocation tools and documents to institutionalize the processes.

Financial management tools and communications I've developed for other organizations include budgeting and cost allocation manuals and programs, a "Citizens' Guide to the Budget", EXCEL-based budgeting software, brochures for patient financial counseling, summary retirement plan descriptions, and studies of health benefits offered by local employers for labor and provider negotiations.

My technical strength comes from a blend of education and experience. I earned an MA in Business Research from Stanford University and recently passed the CPA exam. Prior to entering the public sector, I worked as an actuarial consultant for KPMG and as a cost accountant and research manager.

As Director, Risk Management for Alameda County for over a decade, I brought financial stability to its self-funded liability and workers' compensation programs, while implementing new programs designed to control losses, particularly in the areas of wellness and construction risk management.

Prior to becoming Director, I served as the County Administrator's Office Budget Analyst for the \$400 million Health Care Services Agency, on the finance team for the General Services Agency, and as an operational auditor for a large transit agency.

Governance roles at PRISM include: President, Vice-President, Chairperson of the Finance and GL2 Committees, member of the Property and Executive Committees, and Treasurer of EIO.

[List of Consultants](#)

BERNARD COLEN

Geographic
Area
Preferred:
STATEWIDE

Assignment
Length
Preferred:
1 WEEK-
1 MONTH

Experience/Titles Held:

- Risk Management Consultant
- Workers' Compensation Specialist
- Co-Founder, President & CFO of Colen & Lee, Inc - TPA Company
- Claims Manager
- Workers' Compensation Claims Administrator

Education:

- 2 Year Degree, Mt. San Antonio College - Walnut, CA
- BA Degree (candidate-Senior standing), California State Fullerton - Fullerton, CA

Certifications/Licenses/Awards/Memberships:

- CA State Certified Workers' Compensation Administrator
- Past Instructor for IEA
- Past Member of:
 - * COSIPA
 - * PARMA
 - * PASMA

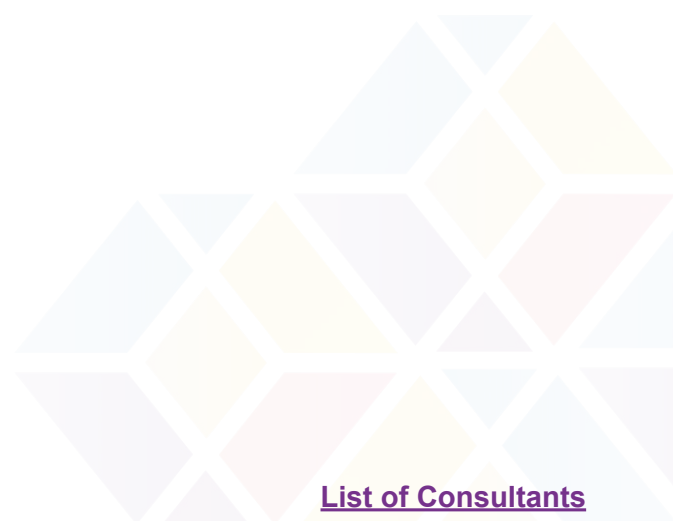
Service Area		Rates (per hour)	Years of Experience
Claims Management	Litigation Manager	\$125-145	30+
	WC Claims Manager	\$125-145	30+
Risk Management	Risk Analyst	\$125-145	30+

Work History:

Recently, I have assisted public sector employers in resolution of high exposure and/or difficult claims, performed file reviews, assisted with the Request for Proposal (RFP) process, made appearances at the Workers' Compensation Appeals Board (WCAB).

I have provided program oversight for self-insured Workers' Compensation and General Liability Programs.

Please see resume for further details.



[List of Consultants](#)

BOB MAY

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: 6 MONTHS +

Experience/Titles Held:

- CJPIA – Risk Management Program Manager & Senior Risk Consultant
- Fire Chief – California Fire Agencies

Education:

- Juris Doctor Degree – Citrus Belt Law School, Riverside, CA
- Bachelors of Law Degree – Peninsula University, Mountain View, CA
- Certified Professional in Fraud Identification – The Institutes
- Workers’ Compensation Claims Administration – The Institutes

Certifications/Licenses/Awards/ Memberships:

- EMT and Fire Instructor
- Publications: Employment Practices - Keeping You Out of Court

Service Area		Rates (per hour)	Years of Experience
Claims Management	Litigation Manager	\$150-200	15
Human Resources	Strategic Planning	\$150-200	15
Risk Management	Risk Manager	\$150-200	10
	Risk Analyst	\$150-200	15
	Crisis Management (PIO)	\$300	25

Work History:

Robert “Bob” May is the owner of Mainstream Unlimited, a consulting firm for private and municipal agencies in the areas of Risk Management and Organization Behavior.

He is the former Risk Management Program Manager for the California Joint Powers Insurance Authority (CJPIA). While at CJPIA, his responsibilities included providing risk management guidance to Authority members and to 5 regional risk managers.

Prior to joining the CJPIA, Bob worked for over thirty years in the California fire service. He has conducted training in the areas of organizational behavior, labor relations, strategic planning, and career development. Bob has also conducted leadership training for city councils and special district boards to assist in the understanding of council/manager form of governance. He has a broad depth of municipal and special district experience.

Bob has conducted several regional trainings for PRISM in Active Shooter, Workplace Violence, Crisis Management and Incident Management. He conducted training on organizational team building and organizational behavior. He has also provided guidance in litigation employment matters including reviewing internal investigation reports.

[List of Consultants](#)

CATHY REINEKE

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: 1 WEEK +

Experience/Titles Held:

- Executive Director for School Insurance Pool
- Managed claims (WC & Liab) for 24 school districts and County Office of Education
- Risk Management Consultant
- Chief Risk Officer
- Appointed by Governor to Prop/Liab Board overseeing Self-Ins Pools
- Risk Management in Retail setting; managed \$7M WC Program in US, monopolistic WC Programs in 7 Provinces in Canada

Education:

- MBA - Seattle, WA
- BA, English - Missoula, MT

Certifications/Licenses/Awards/Memberships:

- ARM
- PRISM Committees: Board of Directors and Legislative
- Member: PARMA, PRIMA, CAJPA
- PRIMA Chapter President, Washington State

Service Area		Rates (per hour)	Years of Experience
Claims Management	Chief Claim Officer	\$150	30
	Liability Claims Manager	\$150	30
	Litigation Manager	\$150	30
	WC Claims Manager	\$150	30
Human Resources	Strategic Planning	\$150	15
Risk Control	Chief Risk Control Officer	\$150	30
	Safety & Loss Control Analyst II	\$150	30
Risk Management	Risk Manager	\$150	30
	Pool/JPA Administrator	\$150	30

Work History:

- 30+ years in all phases of Risk Management
- Public, non-profit and private sector consulting and management of risk programs
- 2 years claims management in county setting (parks, solid waste, public works)
- 17 years risk management in school setting
- 30 years management of worker's compensation programs (self-insured/self-administered, large deductible, and indemnity programs)
- 30 years management of complex liability claims management including sexual abuse, product liability, employment practices, and as a paralegal in a plaintiff law firm. Included suspended scaffolding and construction industry
- Contract administration, indemnification, scope of work definitions
- Loss control including establishment and implementation of OSHA compliance, safety committees, review of accidents (preventable/non-preventable)
- Development and delivery of training programs including risk, safety, and interactive process
- OCIP Programs, loss control, insurance, claims
- Achieved CAJPA Certification (twice with Excellence)

[List of Consultants](#)

CHARLES MITCHELL

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: 6 MONTHS OR LESS

Experience/Titles Held:

- Risk Manager: managed self-insurance funds/losses; purchased commercial insurance coverage & implemented comprehensive RM programs

Education:

- Master of Science – USC, LA, CA
- Masters in Business Administration – USC, LA, CA: Management Science
- BS – Cal Poly State University, San Luis Obispo, CA:
 - * With honors: Mathematics & Aeronautical Engineering

Certifications/Licenses/Awards/Memberships:

- Insurance Institute of America - Malvern, PA: Associates in Risk Management (ARM)
- PRISM:
 - * President, and a member of various committees, including: Board of Directors, Executive, Finance, Underwriting, & Medical Malpractice
- CAJPA:
 - * Accreditation Committee member

Service Area		Rates (per hour)	Years of Experience
Claims Management	Liability Claims Manager	\$100-150	30
Risk Management	Risk Manager	\$100-150	38
	Risk Analyst	\$100-150	38

Work History:

I have over 35 years of combined experience in public agency risk management for two counties and a joint powers authority. Supervised both in-house and 3rd party claims activities for liability, workers' compensation, and property programs.

My experience included managing self-insurance funds and losses, purchasing commercial insurance coverages based on fund retentions, and implementing comprehensive risk management programs to reduce loss exposures through safety programs and contract review policies and procedures. Consulted with county departments and JPA member entities in the development and implementation of programs to meet their specific needs.

I participated in various risk related organizations, including PRISM, PARMA, PRIMA, and CAJPA. For PRISM, I served as: President, Executive Committee member, Board member, and a member of various committees: Finance, Underwriting, and Medical Malpractice. For CAJPA, served a member on the Accreditation Committee that reviewed applications from JPAs for CAJPA accreditation



[List of Consultants](#)

CRAIG WHEATON

Geographic
Area
Preferred:
STATEWIDE

Assignment
Length
Preferred:
1 WEEK -
1 MONTH

Experience/Titles Held:

- Sr. Litigation Manager - several Pools
- AVP, Field Services Manager

Education:

- Commissioned US Navy Officer - Officer Candidate School, US Navy - Newport, Rhode Island
- JD, Lincoln Law School, Sacramento, CA
- BA, Criminal Justice Admin - University of Mansfield, Mansfield, PA

Certifications/Licenses/Awards/Memberships:

- Associate in General Insurance - AIN 21, 22 & 23
- Elected School Board Member - Placer Hills Union School District
- Board member/President - Our Savior Lutheran Church
- Board member/President - Acres of Hope (women's rehabilitation program)
- Board member/President - Colla Voce Chamber Choir

Service Area		Rates (per hour)	Years of Experience
Claims Management	Chief Claim Officer	\$100-115	5
	Liability Claims Manager	\$100-115	12
	Litigation Manager	\$100-115	4

Work History:

Craig began working liability claims in 1985 as a ground-level adjuster, adjusting first and third party automobile losses. Within the next 14 years, he managed USAA's loss report unit, traveled to four USA cities as a catastrophic adjuster (hail storms, Hurricane Andrew), and advanced to a Bodily Injury adjuster.

While employed, he attended Lincoln Law School (evening classes) and obtained a Juris Doctorate Law Degree in 1998.

In 1998, Craig moved to Civil Service Employees (CSE) Insurance company, employed first as a Bodily Injury Unit Manager and then CSE's Senior Litigation desk.

In 2003, an opportunity as a Unit Manager with Gregory B. Bragg and Associates presented itself. It was his first introduction to the public entity claims world. Gradually, he assumed oversight as Claims Manager of six public entities and three stand-alone clients.

York Risk then purchased Bragg and Associates. In addition to continuing with the Claims Management work, he also assumed the management of York's Western USA property and liability field adjusters.

In 2015, Bickmore Risk Services offered him the opportunity to assume the advanced position of Litigation Manager, first for a Southern California pool, ICRMA, and then for four Northern California pools: BCJPIA, ABAG/PLAN, VCJPIA, and CARMA (excess pool).

During his career, he handled everything from the simple non-litigated liability losses to the complex and costly litigated liability losses. Craig has come to appreciate the value of ADR settlements and the sometimes necessity of defining trial verdicts. He also appreciates the need to share lessons learned with claims adjusters, managers, and pool Board members. And lastly, he has come to appreciate the simple satisfaction of a client well served.

[List of Consultants](#)

DIANNE HANSON

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: 1 WEEK or LONGER

Experience/Titles Held:

- Risk Management Consultant
- Director of Business Service/Risk
- Public Safety Officer/Emergency Preparedness
- Assistant Director - Nutrition Services
- Clinical Dietitian/Registered Dietitian/Nutritionist

Education:

- BS, Nutritional Science/Dietetics - California State University, Los Angeles
- MS, Business Administration - Pepperdine University, Malibu

Certifications/Licenses/Awards/Memberships:

- ARM
- State of California - Department of Insurance - Self Insurance Certificate
- Registered Dietitian - #579163
- Workers' Compensation Claims Administration

Service Area		Rates (per hour)	Years of Experience
Claims Management	Litigation Claims Manager	\$95-140	18
	Litigation Manager	\$95-140	18
	WC Claims Manager	\$95-140	18
Human Resources	Health & Benefits Manager	\$95-140	18
Risk Management	Risk Manager	\$95-140	18

Work History:

8 years – Clinical Dietitian: Acute care hospital setting

31 years – Public school setting

- 14 years – Dietitian/Assistant Director of Nutritional Services Department – Public Schools
- 14 years – Risk Management/Public Safety in Public Schools: Worker's compensation-self-insured/self administered program;large deductible, indemnity programs; Property/Liability management; Employee Health Benefits management
- 3 1/2 years – Independent risk consultant for Public Schools

Complex liability claims management- sexual abuse, EPL, Property, Civil rights

Loss Control- annual training programs for high-risk areas (MOT, Nutrition Services, Special Education, OSHA compliance, mandated trainings

Emergency Preparedness – oversight and installation of online Rapid Responder program for all 70 school sites

Health Benefits management and oversight for 7500 employees - self-insured/self administered health plans – GGUSD. Self insured/third party administrator for 1400 employees at HBUHSD

[List of Consultants](#)

ERIC DAHLEN

Geographic Area Preferred: SOUTHERN CA

Assignment Length Preferred: ANY

Experience/Titles Held:

- Owner/Consultant of Trident Risk Solutions, providing Risk Management Services and organization structure to a Joint Powers Authority in Southern California in the areas of Worker’s Compensation, Property, and Liability lines of coverage.
- Director of Risk Management
- Emergency Planning and Preparedness Technician
- Director of Public Safety

Education:

- BA, Intelligence Studies, American Military University

Certifications/Licenses/Awards/Memberships:

- Associates in Risk Management – The Institutes
- California POST Basic Police Academy – San Bernardino Valley College

Service Area		Rates (per hour)	Years of Experience
Risk Control	Safety & Loss Control Analyst II	\$90-140	20+
Risk Management	Risk Manager	\$90-140	5+
	Risk Analyst	\$90-140	20+

Work History:

Most recently, Eric has been instrumental in providing structure and consultative services in the areas of risk management, training, and claims management at a Southern California based JPA focused in the education field. Working primarily with K-12 and Community College Districts, Eric worked with a team of professionals to prevent incidents, mitigate losses, and manage claims to lower the overall exposure of JPA members. With his proven knowledge in risk management, Eric has also earned the Associates in Risk Management (ARM) designation.

Eric also has experience leading the re-shaping of the security department of a super-regional shopping center located in Los Angeles, CA. This effort resulted in saving the client several thousand dollars each year, while maximizing the company’s profit margin – creating a win/win situation.

As far as project management skills are concerned, Eric has developed key strategies over time that have proven effective to keep efforts on time and within budget. Taking a notation on paper and leading through development and implementation is not only challenging, but rewarding at the same time.

With an extensive physical security background, Eric had earned the Certified Protection Professional designation through ASIS; of which he is working to recertify.



[List of Consultants](#)

GERRY PRECIADO

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: ANY

Experience/Titles Held:

- President and Professional Wedge Remover
- Director of Employment Practice Consulting
- Director of Litigation Management

Education:

- Juris Doctor, UCLA - Los Angeles, CA
- BA, UCLA - Los Angeles, CA

Certifications/Licenses/Awards/Memberships:

- State Bar No. 187348 - Inactive

Service Area		Rates (per hour)	Years of Experience
Risk Management	Employment Practice Consultant (AB1825, AB1234, Misc)	Flat Rate Per Session (\$1750-2150)	21
	Conflict Resolution Consultant	\$300/hr or Negotiable Project Rates	15

Work History:

There are two categories of services that I can provide for PRISM’s membership under the category of Employment Practices Liability (EPL) prevention. First, conflict resolution services, and second, EPL training services.

Conflict Resolution:

When relationships go bad, and people require a miracle, that is when they call me. I am not a mediator, I am a professional wedge remover. I pinpoint the core pain points in relationships and the behaviors driving in the proverbial wedges, and provide the solution or formula for their removal. Whether it is a leadership team at odds, two individuals who cannot get along, or two teams of employees who are in open conflict, dysfunction and disharmony abound. In such circumstances, little gets done and morale deteriorates. My focus is not mediation where everyone is asked to compromise, but actual root cause problem solving.

EPL Training:

Every public entity is required to provide mandatory harassment prevention training (AB1825) and public entity ethics training (AB1234) to its employees. I have been providing these compliance trainings as well as other professional and organizational development training offerings to public entity clients for more than two decades. My approach and philosophy is that mandatory training does not have to be boring or torturous. I provide training that is entertaining, educational, engaging and even inspiring.

I provide these services for public entities of all sizes. Whether it is the 200,000 employee University of California system or small public entities with less than 10 employees, I provide the very best I have to offer to meet my clients’ needs.

[List of Consultants](#)

JEFFREY SCHEEL

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: ANY

Experience/Titles Held:

- Project Director, Quality Assurance & Independent Verification, and Validation
- Project/Contract Manager for large-scale System Development Projects for the State of California
- Project Manager Los Angeles, Orange, Riverside, and San Bernardino Counties Police Chief’s Association
- Director of System Development – Los Angeles Police Department
- Project support for:
- Secretary of State’s VoteCal System
- CalPERS - myCalPERS Project
- Aerojet Managed Services Transition
- Quest Technology Management
- Business Resumption & Disaster Recovery
- San Joaquin & Nevada County Technology Assessments

Education:

- BA, Business Administration & Management Information Systems, California State University - Fullerton, CA

Certifications/Licenses/Awards/Memberships:

- Project Management Institute (member)
- Project Management Professional (PMP) Certification

Service Area		Rates (per hour)	Years of Experience
Information Technology	Chief Information Officer	\$160-180	32
	Technology Analyst	\$140-160	32

Work History:

I bring over 30 years of experience in large-scale public-sector systems implementation, executive level strategic technology management, project support services, and project management/oversight for a wide variety of local and state government entities.

I started my career as a Manager of System Development for the Los Angeles Police Department and transitioned to consulting as the Technology Manager for the Los Angeles, Orange, Riverside, and San Bernardino Counties implementation of the State of California’s Statewide Integrated Narcotics System (SINS) project, one of the largest IT projects ever undertaken by the State of California.

As the owner of a public-sector technology consulting firm for the last 20 years, I have provided strategic planning, project management for large-scale public-sector systems development and integration, and a wide range of technology management and procurement experience to diverse agencies at all levels of government.

My extensive experience covers a wide variety of vertical and horizontal markets outlined below:

Horizontal Services

- Business Resumption/ Disaster Recovery Services
- Information Security Assessments
- Executive Level Strategic Planning
- Hosting and Cloud Services Assessment
- Independent Project Oversight and Quality Assurance/IV&V
- Project Management
- Procurement Support
- System Test Planning
- Business Process Review/ Improvement
- Strategic Planning
- Information Security

Vertical Markets

- Pension Systems
- Public Safety/Criminal Justice
- Secretary of State/Corporate Filings
- HR/Payroll
- Transportation
- General State Government
- General Local Government

[List of Consultants](#)

JOHN FALAPPINO

Geographic
Area
Preferred:
NORTHERN CA

Assignment
Length
Preferred:
6 MONTHS
OR LESS

Experience/Titles Held:

- Executive Director for large School Insurance Pool (Workers' Compensation, Liability and Employment Benefits)
- Interim CEO for statewide Excess Liability Pool
- Partner in large (over 50 employees) CPA firm
- Chairman of the Board of a large JPA

Education:

- BS, Accounting, University of California, Berkeley - Berkeley, CA

Certifications/Licenses/Awards/ Memberships:

- Certified Public Accountant (CPA# 27418) retired status
- Member of the CA Society of Certified Public Accountants
- Board member of \$80M credit union for 6 years
- Government Relations Committee member for CA Coalition of Workers' Compensation

Service Area		Rates (per hour)	Years of Experience
Accounting/ Risk Finance	Accounting/ Finance Manager	\$110-150	40
	Budgeting/ Analyst	\$110-150	40
Claims Management	Chief Claim Officer	\$110-150	25
Human Resources	Health & Benefits Manager	\$110-150	25
	Strategic Planning	\$125-150	25
Risk Management	Risk Manager	\$125-150	25
	Pool/JPA Administrator	\$125-150	25

Work History:

- 35+ years as an administrator for joint powers authorities and an accounting firm
- 25+ years in all phases of Risk Management
- Public, non-profit and private sector consulting in accounting, budgeting, management and human resources
- Prepared and revised Joint Powers Board handbook and Employee Handbook
- Experience in Workers' Compensation Programs, to include management of the program, implemented a Return-to-Work program for each school district, established MPN (Medical Provider Network), and clinic identification for Improved Medical Control and established a Critical Claims Committee for pro-active review of high risk claims
- Experience in Property/Liability Programs, to include management of the program, liability claims review, selection of defense attorneys, preparation of Insurance and Risk Management Manual for each member and development of Safety Credit Program
- Experience in Employee Benefits Programs (medical, dental, vision & life), to include management of the programs, preparation of detailed procedure manual for member's benefit coordinators and conducted open enrollment meetings

[List of Consultants](#)

JOHN GARGIULO

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: ANY

Experience/Titles Held:

- Past Global Program Manager for Hewlett Packard Corporation
- Past OSHA Compliance and Consultation Officer
- University Instructor
- Published author in the field of Environmental Health & Safety (EHS)
- Past National Ergonomic Conference and Exhibition (NEC&E) Speaker
- EHS software development consultation

Education:

- MS, Health Science with Emphasis in Industrial Hygiene/Environmental Health, California State University - Fresno, CA
- BS, Biology, Washington State University - Pullman, WA

Certifications/Licenses/Awards/Memberships:

- Certified Industrial Hygienist (CIH)
- Certified Safety Professional (CSP)
- Past Chairman of the HP Industrial Hygiene Technology Council
- Past Project Manager for the HP Ergonomics Center of Expertise

Service Area		Rates (per hour)	Years of Experience
Risk Control	Safety & Loss Control Analyst II	\$100-150	37

Work History:

John offers a unique perspective in that he has worked in both private industry and in the public sector in a variety of industries. This experience allows him to understand the culture associated with both groups and to provide consultation that encompasses the best of both worlds. The result is consultation that is direct, cost efficient as possible and technically competent.

Having worked with hundreds of companies in his career, John is now available to provide assistance to PRISM members on a case by case basis.



[List of Consultants](#)

MARCO SANDOVAL

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: ANY

Experience/Titles Held:

- Risk Manager: included management of GL, Property, Loss Control, Crime, Pollution Liability, Automobile/Aviation Liability, Workers' Comp, Self-Insured Workers' Comp, and Employment Practices Liability programs
- Sr. Claims Representative

Education:

- BA: California State University, Long Beach - Long Beach, CA

Certifications/Licenses/Awards/Memberships:

- ARM
- PRISM:
 - * Board of Directors Committee
 - * Claims Review Committee
 - * General Liability 2 Committee
 - * Primary Workers' Compensation Committee
 - * Property Committee
 - * Underwriting Committee

Service Area		Rates (per hour)	Years of Experience
Claims Management	Liability Claims Manager	\$100-125	30
	WC Claims Manager	\$100-125	10
Risk Control	Safety & Loss Control Analyst II	\$100-125	25
Risk Management	Risk Manager	\$100-125	19
	Risk Analyst	\$100-125	19

Marco Sandoval is the owner of Diversity Risk Management Services, a consulting firm providing boutique risk management and loss prevention services customized for the individual needs of each client in both public and private sectors.

Work History:

I possess over 30 years of experience in Risk Management, Insurance, Loss Prevention and Safety in both the private and public sectors. Throughout my entire career in insurance and risk management, I have been involved in one aspect or another of implementing risk management and safety and loss prevention programs. In addition, I have experience in claims and litigation management; particularly with regard to workers' compensation and liability claims.

I was the designated ADA coordinator and HIPAA Compliance Officer at El Dorado County. I possess experience in detailed contract review and recommendations. Finally, I have direct working knowledge of PRISM operations as a result of being a Board Member for approximately the past 10 years.

Below is a list of the most recent positions I have held:

- Risk Manager, County of El Dorado
- Risk Manager, County of Sutter
- Risk Manager, Dole Food Company
- Associate Risk Manager, Amgen Inc.
- Sr. Claims Representative, ESIS, Inc.
- Liability Claims Representative, Workman's Auto Insurance Company

[List of Consultants](#)

MARYELLEN PETERS

Geographic Area Preferred: NORTHERN CA

Assignment Length Preferred: 6 MONTHS OR LESS

Experience/Titles Held:

- Implemented Indemnification & Insurance Requirements Contract review program
- Created integrated disability management program for industrial & non-industrial leaves of absence Results: 50% reduction in WC disability costs, 20% reduction in medical costs, & a 48% reduction in legal cost in the first 3 years
- Creation of an ADA Coordinator position to manage interactive meetings with departments and county-wide leave program

Education:

- BS, Niagara University

Certifications/Licenses/Awards/Memberships:

- California Transit Indemnity Pool (CALTIP) Board Member
- Casualty Adjuster: Connecticut
- Executive Leadership Certificate, CSUS
- PRISM Committees: Board, Executive, GL2, Legislative

Service Area		Rates (per hour)	Years of Experience
Claims Management	Chief Claims Officer	\$175-200	38
	Liability Claims Manager	\$125-150	35
	Litigation Manager	\$125-150	35
	WC Claims Manager	\$125-150	38
	Return to Work Coordinator	\$100-150	22
Risk Control	Chief Risk Control Officer	\$175-200	20
Risk Management	Risk Manager	\$150-200	27
	Risk Analyst	\$125-150	38

Work History:

I began my insurance career with Liberty Mutual Insurance company managing Workers' Compensation, Liability and Property claims.

For the next 22 years I worked as a Risk Manager for The Hertz Corporation, Supermarkets General, as well as Executive positions in the insurance and TPA sectors with Home Insurance Co., HCM Claim Management (the largest administrator of WC claims in CA.), and Cambridge Integrated Services Group.

Following the acquisition of CISG by AON, I began a second career in the public sector with Placer County, recently retired as Deputy CEO. As Deputy CEO, I was responsible for the Risk Management Division, including Self Insurance Funds, Insurance purchasing (Medical Malpractice, Property, Pollution, Cyber, Aviation, Watercraft liability), Labor Relations, Organizational Development/ Training, Safety/Loss Control, DOT compliance, Integrated Disability Management, and pre-employment background checks, Fit for Duty exams, and Employment Liability investigations. Responsibilities also included contractual risk transfer and insurance certificate tracking for all County contracts and budget strategy workshops.

The Board of Supervisors appointed me to the Board of Directors of both PRISM and CALTIP. My experience with PRISM's programs and pool structure, understanding the value of a member driven organization makes me uniquely qualified to act as a consultant for the County, Public Entity and School members of PRISM.

[List of Consultants](#)

PEGGY HUNTSINGER

Geographic
Area
Preferred:
NORTHERN CA

Assignment
Length
Preferred:
ANY

Experience/Titles Held:

- Sr. Workers' Compensation Claims Coordinator
- Disability Manager
- Assistant Risk Manager
- Oversight and Administration of:
 - * County's Self-Insured Workers' Compensation Program and Safety Program
 - * Family Medical Leave Act (FMLA)
 - * California Family Rights Act (CFRA)
 - * Pregnancy Disability Leave
 - * Americans with Disabilities Act (ADA)
 - * Property/Casualty Insurance Policies
 - * Certificates of Insurance Management
- Utilized PeopleSoft - worked on Absence Management module to better track protected leaves of absence
- Project Manager: County's Wellness Connect event

Education:

- AA, Ohlonee College - Fremont, CA

Certifications/Licenses/Awards/ Memberships:

- ARM
- WCCA

Service Area		Rates (per hour)	Years of Experience
Claims Management	WC Claims Manager	\$75-120	22
	Return to Work Coordinator	\$75-120	21
Risk Control	Safety & Loss Control Analyst II	\$75-120	5+
Risk Management	Risk Analyst	\$75-120	22

Work History:

I worked for Stanislaus County for almost 22 years. I began my employment with Stanislaus County as a Senior Workers' Compensation Claims Coordinator and was later promoted as the County's first Disability Manager, and eventually the County's Assistant Risk Manager.

Accomplishments of Note:

Temporary and Permanent Accommodations: Development of the County's temporary modified duty program. Initiated permanent accommodations for employees injured on the job and worked with the County's HR team to foster a focus on accommodation under the ADA for employees that were not caused by a work-related event.

Certificates of Insurance: Worked with key staff in Purchasing, Risk Management, County Counsel and Information Technology to develop a work flow and data base to improve the way the County obtains and tracks certificates of insurance. This process improvement was necessary to make sure that all departments adhere to the County's insurance requirements. We worked with County Counsel to modify our contracts allowing Risk Management to carve out the insurance requirements and implemented the use of different insurance exhibits. This project is in beta test and continuing to evolve.

Wellness Connect event: Team lead for the County's 2nd and 3rd annual Wellness Connect events.

Absence Management: Part of a team implementing the Absence Management module in PeopleSoft. Implementation of the Absence Management module will improve the County's ability to administer protected leaves of absence.

Professional/Community Involvement: Worked with the Disability Management Employer Coalition (DMEC) to open a chapter in Modesto. DMEC moved the Central Valley Chapter of DMEC to Modesto and I was the local chapter's first president in Modesto. I have been a speaker/presenter at several DMEC National events, two Guns and Hoses events and other local conferences.

[List of Consultants](#)

RALPH KORN

Service Area		Rates (per hour)	Years of Experience
Risk Management	Risk Manager	\$100-175	51

Work History:

Duplan Corporation - 1st Director of Insurance/Risk Management

- Organized the various subsidiaries into a viable and effective blanket insurance program
- Introduced and established loss prevention and control programs among the subsidiaries

E & J Gallo Winery - Director of Insurance

- Took the company into a self-insurance program for CA workers' compensation as well as for salaried employees' group health benefits

Greyhound Corporation - Vice President - Insurance

- Supervised staff of 25 employees

SRG Strategy & Risk Group - President/CEO and Founder

- Contract Administrator for Valley Schools Workers' Compensation Pool
- Performed property/casualty claims audits for self-administered clients as well as Lloyds Underwriters

Kern County - Risk Manager

- Supervised staff of approximately 30 employees, many were Workers' Compensation adjusters
- Served on the Board of Directors of PRISM for Kern County

City of Bakersfield - Risk Manager

- Formed the City's Medical Provider Network (MPN) - saving the City several hundreds of thousands of dollars
- Served on the Board of Directors of ACCEL for the City
- Chair of their Claims & Underwriting Committees
- Supervised 2 assistants and was directly involved in the preparation of the Risk Management budget which represented approximately \$11M

Geographic Area Preferred: COUNTRYWIDE

Assignment Length Preferred: 1 MONTH OR LESS

Experience/Titles Held:

- Director of Insurance/ Risk Management
- Vice President - Insurance
- Involved in forming over 30 captive insurance companies in Bermuda and Hawaii

Education:

- BS: Loyola University - Chicago, IL

Certifications/Licenses/Awards/

Memberships:

- CPCU
- Golden Empire Chapter: Board of Directors
- RIMS: Piedmont Chapter Founder & First President; National Vice President, Executive Committee
- Society of Risk Management Consultants Member
- PRISM Board of Director Member
- ACCEL Committees: Board of Directors, Vice President, Executive, Claims/Underwriting (Chair), Finance
- Board of Directors (HOA): Windemere (Phoenix, AZ) and East Hills Village

STEVE WILMES

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: ANY

Experience/Titles Held:

- Wilmes, LLC - Risk Control Services - CEO
- Alliance of Schools for Cooperative Insurance Programs (ASCIP) - Principal Risk Services Consultant
- Poms & Associates - Risk Management Specialist
- Countrywide Financial Corporation - Corporate Safety Manager

Education:

- BS, Criminology and Criminal Justice - University of Missouri, St. Louis, MO

Certifications/Licenses/Awards/Memberships:

- ASSE Valley Coast Chapter - Past President, Vice President, & Newsletter Editor
- California State University - Criminology Adjunct Instructor
- Speaking Engagements for Conferences: Cal-PRIMA, PARMA, WeTip, CAJPA, AGRiP

Service Area		Rates (per hour)	Years of Experience
Claims Management	WC Claims Manager	\$165	20
	Return to Work Coordinator	\$165	20
Risk Control	Safety & Loss Control Analyst II	\$165	20
Risk Management	Risk Analyst	\$165	20

Work History:

Steve Wilmes has worked in the world of risk management for more than 20 years - working specifically with public agencies for the last 11 years. Understanding public agency environments is a unique task. Gaining the trust of unions, Board and Council members, employees and the community is paramount to a successful risk control program. Steve understands these relationships and is able to leverage them to your entity's advantage.

In 1998, Steve moved to Omaha, Nebraska and worked in the claims department at Mutual of Omaha and later as the Corporate Safety Director. Gaining an understanding of the claims operations, Steve was asked to assist in a startup known as The Alliance Group, Inc. - a professional employer organization. It was here that Steve cemented his career in risk management and risk control.

Steve became responsible for worker's compensation, human resources and safety for 150 high hazard companies, such as nuclear power plant cleaning companies, ammunition manufacturers, chemical manufacturers, recycling plants and many others. From this experience, Steve obtained his Professional in Human Resources (PHR) designation in 2001.

Steve later moved to California, where he became the Corporate Safety Manager for Countrywide. He designed data systems and oversaw all of the safety operations for the United States.

After living the corporate life, Steve dedicated his work to the public sector as the Principal Risk Services Consultant for the second largest Joint Powers Authority in the United States. He oversaw the Loss Control Department for 140+ school districts, handling everything from safety audits, security audits, emergency prep audits, ergonomics, industrial hygiene to OSHA inspections.

Steve is currently based in Redondo Beach, and supports cities and schools districts throughout the state of California.

[List of Consultants](#)

TOM PHILLIPS

Geographic Area Preferred: STATEWIDE

Assignment Length Preferred: 1 WEEK +

Experience/Titles Held:

- Risk Manager Experience with City, County, School and Transit Districts
- Worked for Liberty Mutual as a multi-line claims supervisor for commercial risks involving retail, construction and manufacturing operations

Education:

- ARM: Insurance Institute of America
- BS, Political Science/History: California State University Fullerton - Fullerton, CA
- American Institute for CPCU - Charter Prop. Casualty Underwriter

Certifications/Licenses/Awards/Memberships:

- RIMS, PRIMA, PARMA and MISC Seminars and Conferences
- PRIMA Nat-I President 1990-91
- ACCEL President 1989-90, 1995-97, 2002-04
- ASCIP Executive Comm 1984-86
- PRISM Committees: Board, CPEIA, Legislative & Property
- IEA Instructor: Risk Mgmt Courses

Service Area		Rates (per hour)	Years of Experience
Claims Management	Litigation Manager	\$135-165	20
Risk Management	Risk Analyst	\$135-165	20
	Risk Manager	\$135-165	35

Work History:

35 Years in public entity risk management. Worked for four different types of public entities: transit district, school district, municipality and county. Responsible for all areas of risk management including self insurance/ insurance procurement, claims and litigation management for both self-administered programs and outsourced claims service providers, contractual risk transfer requirements in contracts, occupational safety and health programs, budgeting, cost allocation, risk funding policy and general risk consultation services to agency departments.

Leader in the risk management industry, served as National President for the Public Risk Management Association, contributing author for the IEA risk management textbook for public entities, founding member and Past President of the Authority for California Cities Excess Liability JPA. Involved in the early stages of public entity involvement in PRISM and served on the CPEIA Board prior to consolidation, served on PRISM's Property and Legislative Committees.



[List of Consultants](#)